

## Volcanic Cloud Evolution: Characteristics, Observational Capabilities and Challenges

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### ABSTRACT

*Volcanic clouds composed of solid particles, volcanic gases, and related aerosols evolve from the time of eruption until the cloud constituents are removed from the atmosphere. While airborne, they have the potential to cause damage to aircraft, ranging from acute encounters that can lead to an immediate hazard to flight safety, to chronic wear on aircraft components, to benign encounters where no observable impacts occur. We highlight the evolution of cloud properties through three stages: Stage 1 (recent), through Stage 2 (intermediate), to Stage 3 (final) and comment on the current observational capabilities and challenges of detection and characterization of volcanic clouds.*

### 1.0 INTRODUCTION

Volcanic clouds produced by explosive eruptions are well known to present acute and chronic hazards to aviation, resulting in disruptions to flight operations, safety of flight concerns, and increased frequency of maintenance procedures [1-3]. Volcanic clouds are composed of a mixture of solid particles (rock, glass, and mineral fragments), volcanic gases (water, sulfur dioxide, hydrogen sulfide, carbon dioxide, and hydrogen halides) and a variety of aerosols that form from the gaseous components. The volcano explosivity index (VEI) is used to characterize the relative magnitude of eruptions based primarily on the volume of erupted products and the maximum volcanic cloud height [4]. While imperfect, it does provide a method to compare eruptions. Eruptions of greatest concern to aircraft at cruise altitude typically fall in the range of VEI 3 to VEI 6, with eruption volumes of 0.01 to 10 km<sup>3</sup> (on a logarithmic scale) and cloud altitudes of 3 to >25 km. The global frequency of eruption is inversely proportional to eruption size, with a VEI 3 eruption occurring every few months, VEI 4 every year, VEI 5 every 10 years and VEI 6 every 100 years (Table 1).

**Table 1: Volcanic Explosivity Index (VEI) and related parameters.**

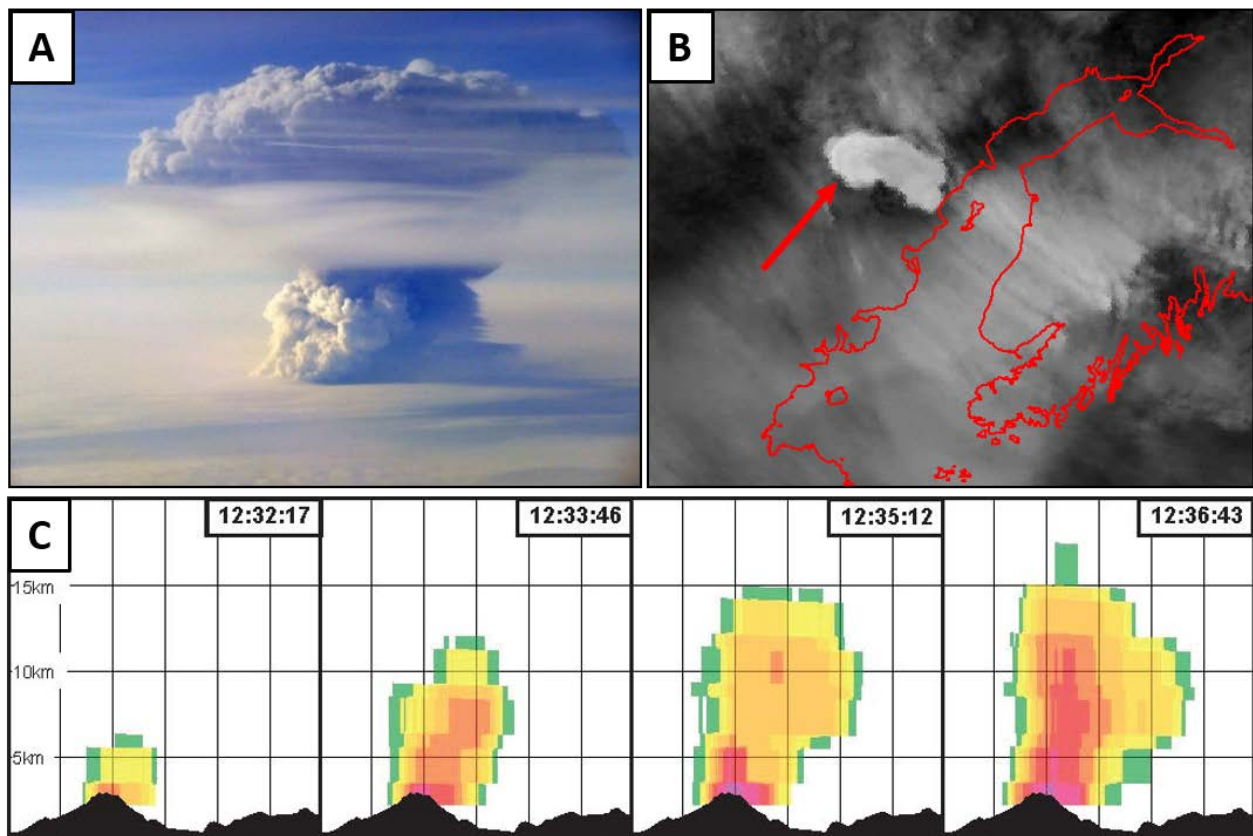
VEI	Cloud Height (km)	Eruption Volume (m <sup>3</sup> )	Duration (hours)	Tropospheric Injection	Stratospheric Injection	Frequency
0	< 0.1 m	< 1x10 <sup>4</sup>	< 1	Negligible	None	Constant
1	0.1 to 10	> 1x10 <sup>4</sup>	< 1	Minor	None	Daily
2	1 to 5	> 1x10 <sup>6</sup>	1 to 6	Moderate	None	Weekly
3	3 to 15	> 1x10 <sup>7</sup>	1 to 12	Substantial	Possible	Yearly
4	10 to 25	> 1x10 <sup>8</sup>	1 to >12	Substantial	Definite	≥ 10 years
5	> 25	> 1x10 <sup>9</sup>	6 to > 12	Substantial	Significant	≥ 50 years
6	> 25	> 1x10 <sup>10</sup>	> 12	Substantial	Significant	≥ 100 years
7	> 25	> 1x10 <sup>11</sup>	> 12	Substantial	Significant	≥ 1000 years
8	> 25	> 1x10 <sup>12</sup>	> 12	Substantial	Significant	≥ 10,000 years

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### 2.0 VOLCANIC CLOUD EVOLUTION

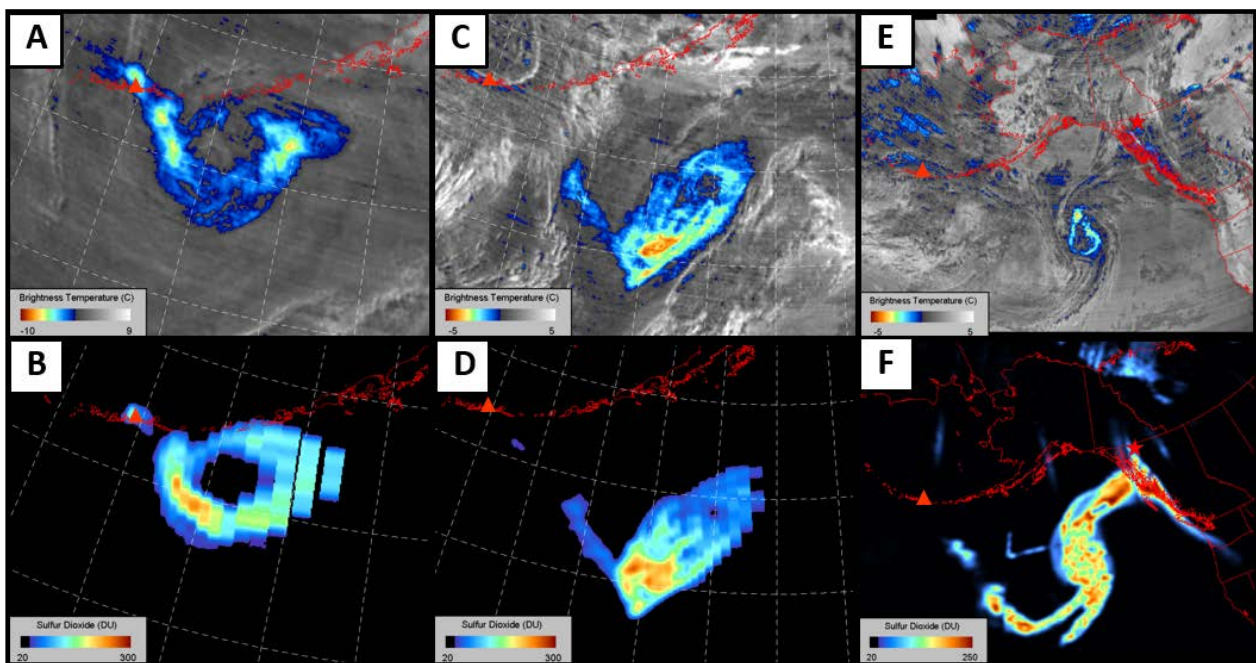
Volcanic clouds show variation in erupted magma composition (as measured by silica content), particle size distribution (a function of the fragmentation process), and the amount of volcanic gas released with the solid ash particles. These properties evolve over time as the cloud disperses in the atmosphere, which changes the hazards, as well as the methods used to detect and track them. We propose that three stages in cloud evolution can be used to describe some of these changes.

Stage 1 volcanic clouds pertain to the first several hours of residence time in the atmosphere (Fig. 1A). During this stage, eruption column processes are active (formation of ice particles and aggregate growth) [5-7] and volcanic material can be distributed many kilometers vertically. The majority of ash particles with diameters less than about 0.5 mm sediment out of the cloud during this time period. Eruptions that produce clouds large enough to pose a hazard to aircraft at cruise altitude are typically visible in meteorological satellite data, provided they are not obscured or mixed with significant meteorological clouds (Fig. 1B). They contain particles large enough to be observed on ground-based meteorological radar and can rise to aircraft cruise altitude ( $>9$  km) within minutes of eruption onset (Fig. 1C) [8]. Many of the most serious aircraft encounters that have caused acute aircraft damage resulting in engine shutdown have occurred in the first several hours of volcanic cloud residence times within several hundred kilometers of the eruption site. [1]



**Figure 1: Stage 1 volcanic clouds from Redoubt Volcano, Alaska in 2009 (A) Photograph of the volcanic eruption taken from an aircraft at an altitude of ~35,000 ft asl on March 28, 2009 at 01:45 UTC. (B) Thermal infrared satellite image of a Stage 1 volcanic cloud (red arrow) collected on March 24, 2009 at 04:30 UTC. In this image the temperature scale ranges from black (warm) to white (cold). (C) Sequence of radar cross sections through a Stage 1 volcanic cloud collected on March 23, 2009. Start time of radar scan (~90 second duration) indicated in UTC. Radar reflectivity ranges from 20 to 60 dBZ (green to purple).**

Stage 2 volcanic clouds are those with residence times in the atmosphere of several hours to several days after eruption. During this stage there is the potential for acute (safety of flight), chronic (increased wear), or non-damaging aircraft encounters. [1,9]. Volcanic cloud expansion by wind advection and diffusion shears the cloud into layers several km thick vertically. Particle aggregation and fallout of very-fine to fine-grained ash occurs, and in many cases the volcanic ash and sulfur dioxide constituents of the cloud are able to be observed using thermal infrared [10] and ultraviolet remote sensing methods [11] (Fig. 2). The volcanic ash “signal” (e.g., thermal infrared brightness temperature difference) decreases more rapidly than the sulfur dioxide “signal” in days following the eruption. The volcanic ash and sulfur dioxide clouds typically follow similar trajectories as the cloud disperses, as seen in the example from Kasatochi shown in Fig. 2. However, in other cases there is a vertical separation of volcanic ash and gas, which in the presence of wind shear will transport the cloud constituents in different directions [12-13].



**Figure 2: Stage 2 volcanic ash (A, C, and E) and sulfur dioxide (B, D, and F) clouds from the 2008 eruption of Kasatochi Volcano, Alaska. The location of the volcano is shown by the red triangle. The cloud location is shown at 20 hours (A-B), 40 hours (C-D) and, 66 hours (E-F) after eruption onset. The volcanic ash extent as detected by the GOES imager is depicted as the thermal infrared brightness temperature difference. Note that the magnitude of the signal does not scale linearly with the mass of airborne ash. The sulphur dioxide cloud as detected by the OMI sensor is depicted in Dobson Units, which is the retrieved total column abundance of the UV absorbing gas. The location of a non-damaging aircraft encounter over western Canada is shown as a red star in Fig. 2 E-F and described in Guffanti et al. [9]**

Stage 3 volcanic clouds are observed in the days to weeks following an eruption, until the cloud is no longer detectable using remote sensing methods. These drifting volcanic clouds may be transported thousands of kilometers from the volcano (Fig. 3A), and in some cases circumnavigate the earth. They are typically present as very thin layers less than a kilometer thick (Fig. 3B, Fig. 4C) and can be cause for concern for pilots [14]. Very-fine-grained ash (micron size) may be present in these clouds, but at very low total column mass values that are below the detection limit of current thermal-infrared satellite techniques (less than 0.01 to 0.1 g/m<sup>2</sup>) [15-16]. These clouds are observed using visible [17], ultraviolet [18], and thermal infrared [19] remote sensing techniques that can detect sulfate aerosol (Fig. 4A) and sulfur dioxide [20] (Fig. 4B). Acute damage to aircraft from these clouds is unlikely but there is the potential for chronic aircraft damage over time.

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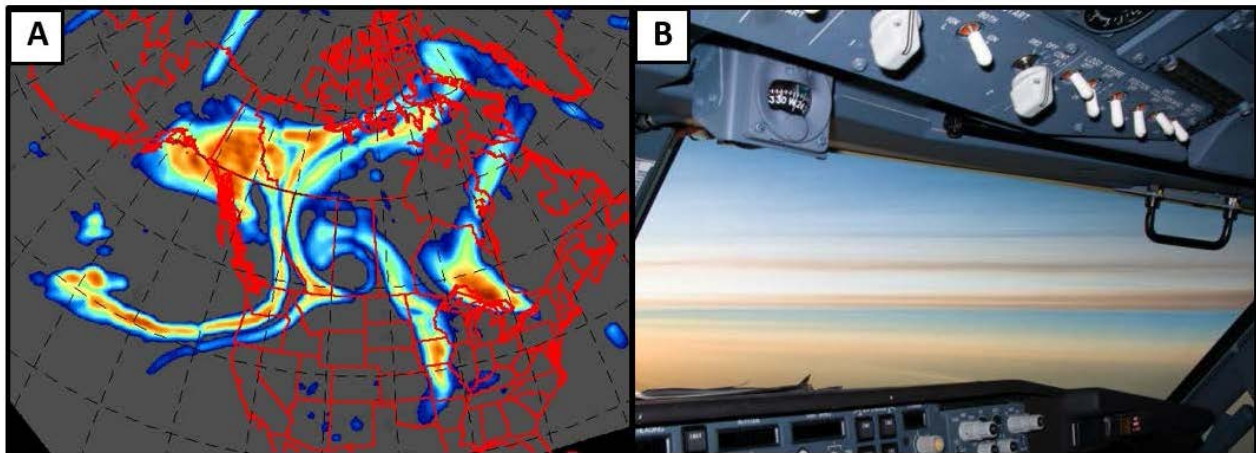


Figure 3: Stage 3 volcanic clouds. (A) Sulfur dioxide cloud from Kasatochi Volcano, Alaska one week after the end of eruptive activity as detected by the OMI sensor. (B) Volcanic aerosol layers (presumably sulfate from the conversion of sulfur dioxide) from the 2008 eruption of Okmok Volcano, Alaska as seen from an aircraft flying at 28,000 ft over Billings, Montana one week after the end of eruptive activity. (Image courtesy of Bradley Johnson and Alaska Airlines)

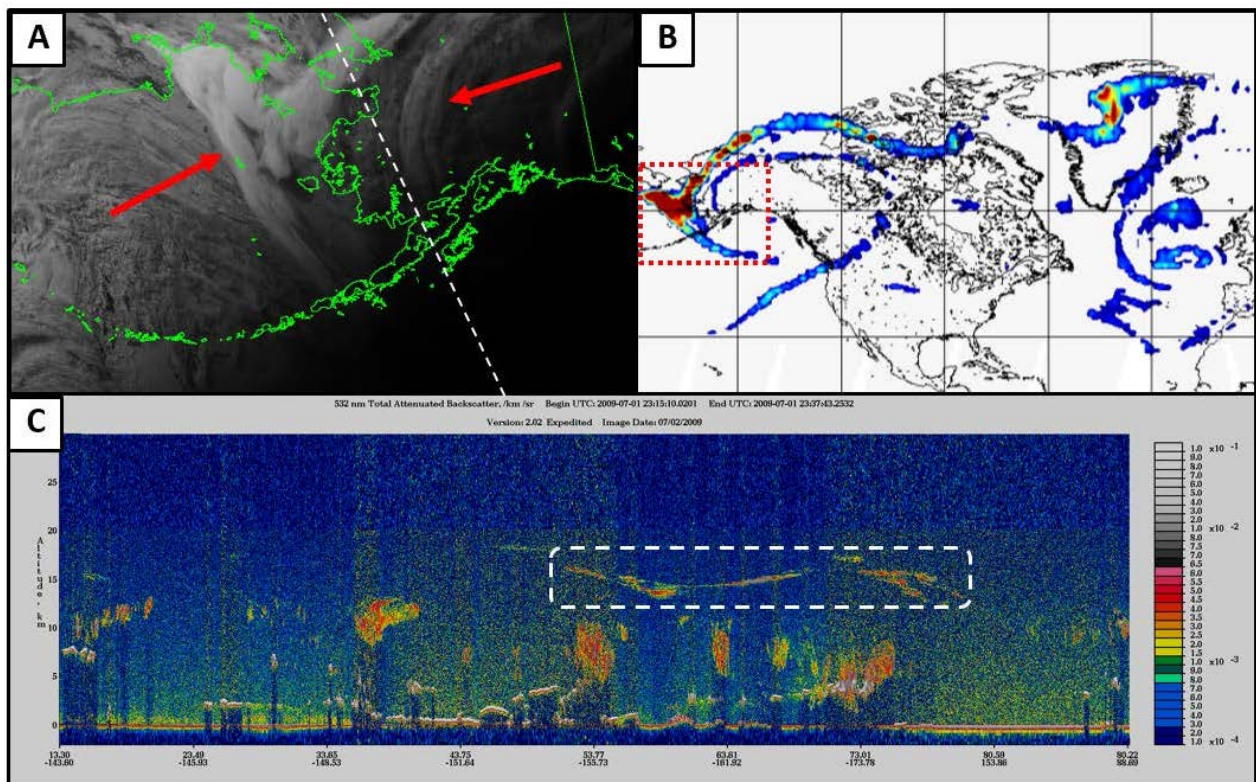


Figure 4: Stage 3 volcanic clouds from the 2009 eruption of Sarychev Peak, Russia approximately two weeks after the end of eruptive activity. A) GOES visible satellite image showing a high altitude volcanic cloud (red arrows) above the meteorological cloud deck. This cloud was only visible during sunset as forward scatter enhanced the volcanic aerosol cloud. The location of the lidar cross section shown in Fig. 4C is indicated by the dashed white line. B) Extent of the sulfur dioxide cloud as detected by the IASI sensor. Image produced by Université Libre de Bruxelles. The red box indicates the approximate extent of the GOES image shown in Fig. 4A. C) CALIOP satellite lidar total attenuated cross-section of meteorological and volcanic cloud layers. The white dashed box indicates the vertical and along-track extent of the Sarychev Peak aerosol layer.

### 3.0 OBSERVATIONAL CAPABILITIES

Explosive volcanic eruptions and their resultant clouds can be observed and characterized by various geophysical and satellite methods. The primary geophysical methods used by volcano observatories include seismic (used for forecasting, detecting and characterizing eruptions) [21-23], infrasound (detection and characterization of volcanic explosions) [24-26], web cameras (eruption cloud height) [27-28], lightning sensors (detecting ash emissions) [26, 29-31] and radar (cloud height, structure, grain size characteristics) [8, 32-34]. These capabilities vary widely between countries and between different regions within countries.

Once a volcano erupts, satellite methods are used by (some) volcano observatories and by the nine regional Volcanic Ash Advisory Centers (VAAC) to detect and confirm ash emissions, characterize volcanic cloud height (for input into ash transport and dispersion models), and to evaluate the accuracy of the forecast regions of ash hazard [35]. Automated, objective image processing techniques have been developed to detect volcanic ash clouds, identify cloud objects and retrieve cloud parameters from satellite data [36-40] (Fig. 5). The VOLcanic Cloud Analysis Toolkit (VOLCAT) operates globally to produce global alerts of volcanic activity for use by volcano observatories and the VAACs (<https://volcano.ssec.wisc.edu/>). High-temporal resolution geostationary satellite data now available from Himawari-8 (Japan), GOES-R (United States) and Seviri (European Union) allow for automated detection of energetic explosive eruptions on the basis of their anomalously high growth rate (compared to normal convective cloud formation) [41]. Global web-based images products of sulfur dioxide and volcanic ash is available from the Support to Aviation Control Service (SACS) project, which uses data derived from UV/Visible and Infrared sensors on board of several polar-orbiting satellites (<http://sacs.aeronomic.be/index.php>).

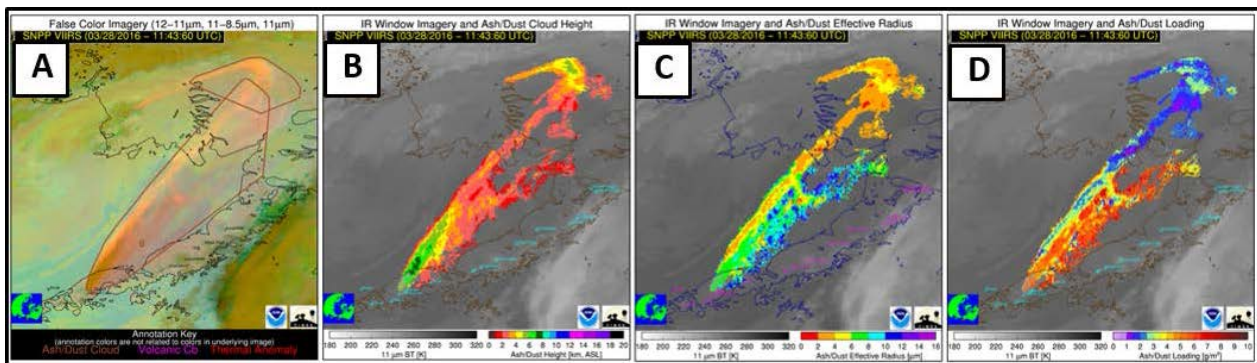


Figure 5: (A) Multispectral false-color composite and retrieved parameters of (B) ash cloud height, (C) ash particle effective radius, and (D) volcanic ash mass loading from a VIIRS satellite image of the volcanic cloud from Pavlov Volcano collected on 28 March 2016.

### 4.0 OBSERVATIONAL CHALLENGES

Although significant capabilities exist, challenges remain. Seismic and infrasound monitoring are effective means to detect explosive eruptions, but it is not possible at the onset of eruption to determine whether significant ash emission is occurring, the total mass that will be erupted, and how long the eruption will continue. In particular, it is difficult to characterize the key eruption source parameters, such as mass eruption rate, in real-time. Particle size and vertical mass distribution are also critical for initializing ash transport and dispersion models, but not easily determined during an eruption. Characterization by satellite methods can be hampered by environmental factors such as complexity in the local meteorological clouds, layers in the volcanic clouds, particle size and compositional complexity, and by limited regional satellite observing capabilities. New satellite data sources have increased temporal, spatial, and spectral resolution,

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with more planned for the future. The developments call for automated techniques to identify regions and time periods of potential volcanic ash hazards for evaluation by skilled analysts. Satellite and geophysical monitoring data sources exist that can improve operational response and hazard notification, but they are currently widely dispersed at various space and meteorological agencies, as well as volcano observatories. Aviation user needs to address chronic exposure concerns require information on ash concentration observations and forecasts. Continued evaluation of the capabilities of satellite retrievals schemes and dispersion model output is required.

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